



PATENT  
Customer No. 22,852  
Attorney Docket No. 7451.0028-00  
InterTrust Ref. No.: IT-27.1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
W. Olin SIBERT ) Group Art Unit: 2131  
Application No.: 09/643,630 ) Examiner: Not Yet Assigned  
Filed: August 21, 2000 )  
For: SECURE PROCESSING UNIT )  
SYSTEMS AND METHODS )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**

APR 05 2004

Technology Center 2100

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed, to the best of the undersigned's knowledge, before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents, including any copending patent applications, are attached. Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

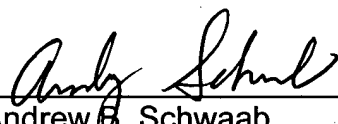
Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

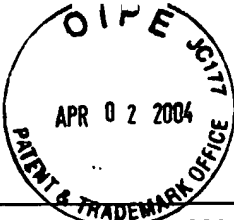
If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: March 31, 2004

By:   
Andrew B. Schwaab  
Reg. No. 38,611



OMB No. 0651-0011

## INFORMATION DISCLOSURE CITATION

Atty. Docket No.	07451.0028-00000	Appln. No.	09/643,630
Applicant	W. Olin Sibert		
Filing Date	08/21/00	Group:	2131

## U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	09/628,692		Sibert			07/28/00
	4,827,508	05/02/89	Shear			
	5,530,235	06/25/96	Stefik et al.			
	5,534,975	07/09/96	Stefik et al.			
	5,629,980	05/13/97	Stefik et al.			
	5,634,012	05/27/97	Stefik et al.			
	5,638,443	06/10/97	Stefik et al.			
	5,715,403	02/03/98	Stefik			
	5,892,900	04/06/99	Ginter et al.			
	5,920,861	07/06/99	Hall et al.			
	5,943,422	08/24/99	Van Wie et al.			
	5,982,891	11/09/99	Ginter et al.			
	6,112,181	08/29/00	Shear et al.			
	6,157,721	12/05/00	Shear et al.			
	6,185,683	02/06/01	Ginter et al.			

## FOREIGN PATENT DOCUMENTS

Examiner Initial*	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
	EP 0 715 247 A1	06/96	EPO			
	WO 97/43761	11/20/97	PCT			
	WO 98/10381	03/12/98	PCT			
	WO 01/10076	02/08/01	PCT			

Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
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Applicant	W. Olin Sibert		APR 05 2004
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Technology Center 2100			

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	Jaggar, Dave, <u>Advanced RISC Machines (ARM) Architecture Reference Manual</u> , Prentice Hall, 1997. ISBN 0-13736-299-4 (paperback).
	Menezes, A. J. et al., <u>Handbook of Applied Cryptography</u> , CRC Press, Oct. 1996. ISBN 0-8493-8523-7 (hardcover).
	Patterson, D. and Hennessy, J., <u>Computer Architecture: A Quantitative Approach</u> , 2d ed., Morgan Kaufmann, 1996. ISBN 1-55860-372-7 (paperback).
	Patterson, D. and Hennessy, J., <u>Computer Organization and Design: The Hardware/Software Interface</u> , 2d ed., Morgan Kaufmann, Aug. 1997. ISBN 1-55860-428-6 (hardcover).
	Pugh, E. W. et al., <u>IBM's 360 and Early 370 Systems</u> , MIT Press, Mar. 1991. ISBN 0-262-16123-0 (hardcover).
	Schneier, B., <u>Applied Cryptography</u> , 2d ed., John Wiley & Sons, Oct. 1995. ISBN 0471117099 (paperback).
	Sibert, Olin et al., "DigiBox: A Self-Protecting Container for Information Commerce," Proceedings of the First USENIX Workshop on Electronic Commerce, New York, NY, July 1995, 9 pages.
	Sibert, Olin et al., "Securing the Content, Not the Wire, for Information Commerce," InterTrust Technologies Corporation, 1996, 12 pages.
	Stefik, M., "Trusted Systems," Scientific American, March 1997, pp. 78-81.
	Stefik, M., "Letting Loose the Light: Igniting Commerce in Electronic Publication," Xerox PARC, Palo Alto, CA, 1994-1995, 35 pages.
	Stefik, M., "Letting Loose the Light: Igniting Commerce in Electronic Publication," Internet Dreams: Archetypes, Myths, and Metaphors. Massachusetts Institute of Technology, 1996, pp. 219-53.
	Stefik, M., "Chapter 7, Classification, Introduction to Knowledge Systems," Morgan Kaufmann Publishers, Inc., 1995, pp. 543-607.

Examiner	Date Considered
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